

Form PTO-1449 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number <b>BEAS-01077US3</b>	Serial/Patent Number <b>09/975,587</b>
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use several sheets if necessary)		Applicant/Patent Owner <b>Dean Bernard Jacobs, et al.</b>	
		Filing/Issue Date <b>October 11, 2001</b>	Group Art Unit <b>3661-2155</b>

**U.S. PATENTS**

Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date

**U.S. PATENT PUBLICATIONS**

Examiner Initial	Patent Application Publication Number	Publication Date	Applicant
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**PENDING U.S. PATENT APPLICATIONS**

Examiner Initial	Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes   No
<b>AB</b>	1. 09/975,590	October 11, 2001	Dean Bernard Jacobs, et al.	
	2. 09/405,260	September 23, 1999	Dean Bernard Jacobs, et al.	
	3. 09/405,508	September 23, 1999	Dean Bernard Jacobs, et al.	
	4. 09/405,500	September 23, 1999	Dean Bernard Jacobs, et al.	
	5. 60/305,985	July 16, 2001	Chang, et al.	

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**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Publication Date	Country	Class	Subclass	Translation Yes   No

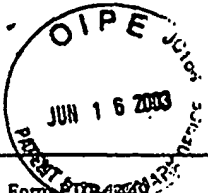
**OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)**

<b>AB</b>	1.	<i>Achieving Scalability and High Availability for E-Commerce and Other Web Applications</i> , BEA Systems, Inc., June 15, 1999.
	2.	BEA WebLogic Server Version 6.0 DataSheet, BEA Systems, Inc., December 17, 2000.
	3.	BEA WebLogic Server Version 6.1 DataSheet, BEA Systems, Inc., May 30, 2001.

Examiner Alicia Baturay Date Considered 8/20/05

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

\*1 = Copy not submitted because it was submitted in prior application SN / , filed , 20 , relied on under 35 USC §120.  
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Form <b>PTO/AIA 409</b> (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number <b>BEAS-01077US3</b>	Serial/Patent Number <b>09/975,587</b>
<b>Information Disclosure Statement BY APPLICANT</b> (Use several sheets if necessary)		Applicant/Patent Owner <b>Jacobs, et al.</b>	
		Filing/Issue Date <b>October 11, 2001</b>	Group Art Unit <del>2661</del> <b>2155</b>

U.S. PATENTS

Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
<b>AB</b>	5,920,867	7/6/99	Van Huben, et al.	707	101	12/6/96
	6,088,694	7/11/00	Burns, et al.	707	8	3/31/98
	6,263,372	7/17/01	Hogan, et al.	709	237	7/7/98

U.S. PATENT PUBLICATIONS

Examiner Initial	Patent Application Publication Number	Publication Date	Applicant

PENDING U.S. PATENT APPLICATIONS

Examiner Initial	Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes   No

FOREIGN PATENT DOCUMENTS

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OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)

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Examiner *Alicia Baturay* Date Considered *8/20/05*

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Form PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number <b>BEAS-01077US2</b>	Serial/Patent Number <b>09/975,587</b>
Information Disclosure Statement BY APPLICANT (Use several sheets if necessary)		Applicant/Patent Owner <b>Jacobs, et al.</b>	
		Filing/Issue Date <b>10/11/01</b>	Group Art Unit <b>2661-2155</b>

**U.S. PATENTS**

Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
<b>AB</b>	5,434,994	8/18/95	Shaheen, et al.	395	500	5/23/94
	6,304,882 B1	10/16/01	Strellis, et al.	707	202	5/5/98
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**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Publication Date	Country	Class	Subclass	Translation Yes   No

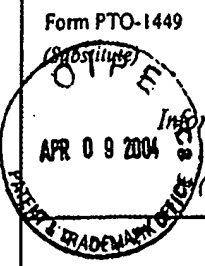
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<b>Information Disclosure Statement</b> <b>BY APPLICANT</b> <i>(Use several sheets if necessary)</i>		Applicant/Patent Owner <b>Jacobs et al.</b>	
		Filing/Issue Date <b>October 11, 2001</b>	Group Art Unit <del>2184</del> <b>2155</b>



**U.S. PATENTS**

Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
<b>AB</b>	5,212,793	05/18/93	Donica et al.			
	5,249,290	09/28/93	Heizer			
	5,761,507	06/02/98	Govett			
	5,768,504	06/16/98	Kells et al.			
	5,802,291	09/01/98	Balick et al.			
	6,338,089	01/08/02	Quinlan			
	6,343,287	01/29/02	Kumar et al.			

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**U.S. PATENT PUBLICATIONS**

Examiner Initial	Patent Application Publication Number	Publication Date	Applicant

**PENDING U.S. PATENT APPLICATIONS**

Examiner Initial	Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes   No

**FOREIGN PATENT DOCUMENTS**

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Examiner <i>Alicia Baturay</i>	Date Considered <i>8/20/05</i>
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Form PTO-14 (Substitute)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Attorney Docket Number BEAS-01077US3	Serial/Patent Number 09/975,587
<b>Information Disclosure Statement</b> <b>BY APPLICANT</b> (Use several sheets if necessary)		Applicant/Patent Owner Jacobs et al.	
		Filing/Issue Date October 11, 2001	Group Art Unit <del>2184</del> 2155

U.S. PATENTS

Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
AB	6,411,956	06/25/02	Ng			
	6,542,845	04/01/03	Grucci et al.			
	6,687,848	02/03/04	Najmi			

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U.S. PATENT PUBLICATIONS

Technology Center 2100

Examiner Initial	Patent Application Publication Number	Publication Date	Applicant

PENDING U.S. PATENT APPLICATIONS

Examiner Initial	Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes   No

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Publication Date	Country	Class	Subclass	Translation Yes   No

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Examiner <i>Alicia Baturay</i>	Date Considered <i>8/20/05</i>
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Form PTO-1449 U.S. DEPARTMENT OF COMMERCE  
 (Style 10/01) PATENT AND TRADEMARK OFFICE  
 Information Disclosure Statement  
 BY APPLICANT  
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Attorney Docket Number: BEAS-01077US3  
 Serial Patent Number: 09/975,587

Applicant/Patent Owner: Jacobs et al.  
 Filing/Issue Date: October 11, 2001  
 Group Art Con: ~~2184~~ 2155

**U.S. PATENTS**

Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
AB	6,505,200 B1	01/07/03	Ims et al.	707	8	07/06/00
	6,539,381 B1	03/25/03	Prasad et al.	707	10	04/21/99
	6,757,708 B1	06/29/04	Craig et al.	709	203	03/03/00

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Examiner Initial	Patent Application Publication Number	Publication Date	Applicant
AB	2001/0054062 A1	12/20/01	Ismael et al.
	2002/0188591 A1	12/12/02	Santosuosso
	2003/0060214 A1	03/27/03	Hendrey et al.
	2003/0088713 A1	05/08/03	Mandal et al.
	2004/0230747 A1	11/18/04	Ims et al.

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Attorney Docket Number <b>BEAS-01077US3</b>	Serial/Patent Number <b>09/975,587</b>
Applicant/Patent Owner <b>Jacobs et al.</b>	
Filing/Issue Date <b>October 11, 2001</b>	Group Art Unit <b>2155</b>

**U.S. PATENTS**

Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
AB	5,796,934	08/18/98	Bhanot et al.	395	182.02	05/31/96
	6,134,673	10/17/00	Chrabaszcz	714	13	10/01/79
	6,526,521 B1	02/25/03	Lim	714	4	06/18/99
	6,578,160 B1	06/10/03	MacHardy, Jr. et al.	714	43	05/26/00
	6,877,111 B2	04/05/05	Sharma et al.	714	13	03/26/01

**U.S. PATENT PUBLICATIONS**

Examiner Initial	Patent Application Publication Number	Publication Date	Applicant
AB	2002/0073354 A1	06/13/02	Schoroiff et al.
	2003/0065686 A1	04/03/03	Callahan et al.

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**Examiner** Alicia Baturoy **Date Considered** 1/20/2006

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